## **PATENT**

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: DONAT-2

In re Application of:

**ALBRECHT DONAT et al.** 

Appl. No.: 10/770,121

Filed: February 2, 2004

For: DRIVE UNIT AND METHOD FOR USING

THE DRIVE UNIT TO CONTROL A

MACHINE TOOL

#### INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450", on **May 3, 2004.** 

URSULA B. DAY
Name of Registered Representative

Signature

Date of Signature

SIR:

In accordance with 37 C.F.R. 1.56, applicant wishes to call the attention of the Examiner to the following references A) to C) which were cited in the International Search Report issued by the European Patent Office with regard to the corresponding International patent application No. PCT/DE02/02739. Applicant does not admit that any of the cited documents constitutes prior art against the pending application.

Docket No.: DONAT-2 Serial No.: 10/770,121

	Country:	Patent or Appl. No:	Patentee or Applicant:	Issue or Filing Date:
A)	USA	US 4 459 655	Willemin	07-10-1984
B)	Germany	DE 196 24 929 A	Siemens AG	01-02-1998
C)	USA	US 4 841 450 A	Fredriksson	06-20-1989
D)	Kirk R B: "F	Parallel Processing in	<b>Control Application</b>	ons" 09-01-1990

Copies of these references are submitted herewith along with form PTO-1449. The Examiner is requested to initial the attached form PTO-1449 and to return a copy of the initialed document to the undersigned as an indication that the attached references have been considered and made of record.

- [X] This Information Disclosure Statement is filed within three months of the filing date of a national application other than a continued prosecution application under 1.53(d), so that no fee under 37 C.F.R. §1.97 is due.
- [] This Information Disclosure Statement is filed within three months of the date of entry of the national stage as set forth in 1.491 in an international application, so that no fee under 37 C.F.R. §1.97 is due.
- [] This Information Disclosure Statement is filed before the mailing of a first Office Action on the merits, so that no fee under 37 C.F.R. §1.97 is due.
- [] This Information Disclosure Statement is filed before the mailing of a first Office Action after the filing of a request for continued examination under §1.114, so that no fee under 37 C.F.R. §1.97 is due.
- [] This Information Disclosure Statement is filed after the issuance of a first office but before issuance of a final action under §1.113, or a notice of allowance under §1.311.

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[] This Information Disclosure Statement is submitted after the mailing of a final action or a notice of allowance, but before payment of the issue fee. [] The undersigned submits the following statement requesting consideration of this statement: The undersigned hereby states: [] That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; [] That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement. [] The fee of \$180.00 set forth in 1.17(p). [] The Commissioner is hereby authorized to charge the fee as set forth in 1.17(p), and any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0502. [] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0502.

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In order to satisfy the requirement under 37 C.F.R. §1.98(a)(3) for a concise

explanation of the relevance of each item of information, applicant herewith

submits a copy of the International Search Report together with a translation of the

relevant pages thereof.

The above-identified application discloses and claims an invention

patentable over this prior art.

Entry of the references above set forth into the file of the above application

is believed to be in order and is respectfully requested.

The Commissioner is hereby authorized to charge any additional fees which

may be required, or credit any overpayment to Deposit Account No. 06-0502.

Respectfully submitted

Ùrsula B. Day

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HMF/WS:af/be

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# Form PTO 3449 MAY 0 5 2004

U.S. Department of Commerce Patent and Trademark Office

# INFORMATION DISCLOSURE CITATION

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Atterneses Docket No.			Applicant			Appl. No.							
·· DONAT-2			Siemens AG			10/770,121							
Filing Date			Group			Examiner							
February 2, 2004													
U.S. PATENT DOCUMENTS  Examiner Document Date Name Class Subclass Filing Date													
Document Number	D	ate	Name		Class	S	ubclass	Filing if appr	Date, opriate				
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OTHER DOCUMENTS (Including Author Title Date Pertinent Pages Ftc.)													
Kirk R B: "Parallel Processing in Control Applications", I & CS – Industrial and Process Control Magazine, Chilton Company, Radnor, Pennsylvania US, Vol. 63, No. 9, September 1, 1990, pages 41-45, XP000167890, ISSN: 1074-2328													
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	Document Number 4,459,655 4,841,450  Document Number DE 196 24 9	Document Number 4,459,655 07 4,841,450 06	Document   Date   Number   Date   Number   Date   Date   Number   Date   Date   Number   Date   Da	DONAT-2  Document Number 4,459,655 07-10-1984 Willem 4,841,450 06-20-1989 Fredrik  Document Number Document Date Cour Number DE 196 24 929 A 01-02-1998 Gern  OTHER DOCUMENTS (Including Kirk R B: "Parallel Proce and Process Control Ma US, Vol. 63, No. 9, Septe 1074-2328	DONAT-2 Siemens AG  Oate Oruary 2, 2004  U.S. PATENT DOC  Document Number 4,459,655 07-10-1984 Willemin 4,841,450 O6-20-1989 Fredriksson  FOREIGN PATENT DO  Document Number Date Country  DE 196 24 929 A  O1-02-1998  OTHER DOCUMENTS (Including Author, Kirk R B: "Parallel Processing in and Process Control Magazine, US, Vol. 63, No. 9, September 1, 1074-2328	DONAT-2  Siemens AG  Oate  Group  U.S. PATENT DOCUMENT  Document Number  4,459,655  07-10-1984  Willemin  4,841,450  Document Number  Date Country  Document Number  Date Country  Document Number  DE 196 24 929 A  O1-02-1998  Germany  OTHER DOCUMENTS (Including Author, Title, Date and Process Control Magazine, Chilton OUS, Vol. 63, No. 9, September 1, 1990, pa 1074-2328	DONAT-2  Siemens AG  Siemens AG  Dotate  Group  U.S. PATENT DOCUMENTS  Document Number 4,459,655 07-10-1984 4,841,450 06-20-1989 Fredriksson  FOREIGN PATENT DOCUMENTS  Document Number Date Country Class  Document Number DE 196 24 929 A 01-02-1998 Germany  OTHER DOCUMENTS (Including Author, Title, Date, Pertin Kirk R B: "Parallel Processing in Control Applic and Process Control Magazine, Chilton Compu US, Vol. 63, No. 9, September 1, 1990, pages 4: 1074-2328	DONAT-2  Siemens AG  10  Document Number 4,459,655  OFFICE 196 24 929 A  DE 196 24 929 A  OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages Are	DONAT-2  Siemens AG  Siemens AG  10/770,1  Date  U.S. PATENT DOCUMENTS  Document Number 4,459,655 07-10-1984 Willemin 4,841,450 06-20-1989 Fredriksson  FOREIGN PATENT DOCUMENTS  Document Number Date Country Class Subclass Document Number DE 196 24 929 A  01-02-1998 Germany  OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)  Kirk R B: "Parallel Processing in Control Applications", I & Ct and Process Control Magazine, Chilton Company, Radnor, US, Vol. 63, No. 9, September 1, 1990, pages 41-45, XP00016				

<sup>\*</sup>Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.